

Greenberg's view on  
generalizing Iwasawa theory  
via Galois deformations

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$$\left\{ \begin{array}{l} \text{Hida} \cdots \text{deformations of modular forms} \\ \text{Mazur} \cdots \text{deformations of Galois rep's} \end{array} \right.$$

+

Iwasawa theory of cyclotomic deformation  
of motives (Mazur, Greenberg, etc)

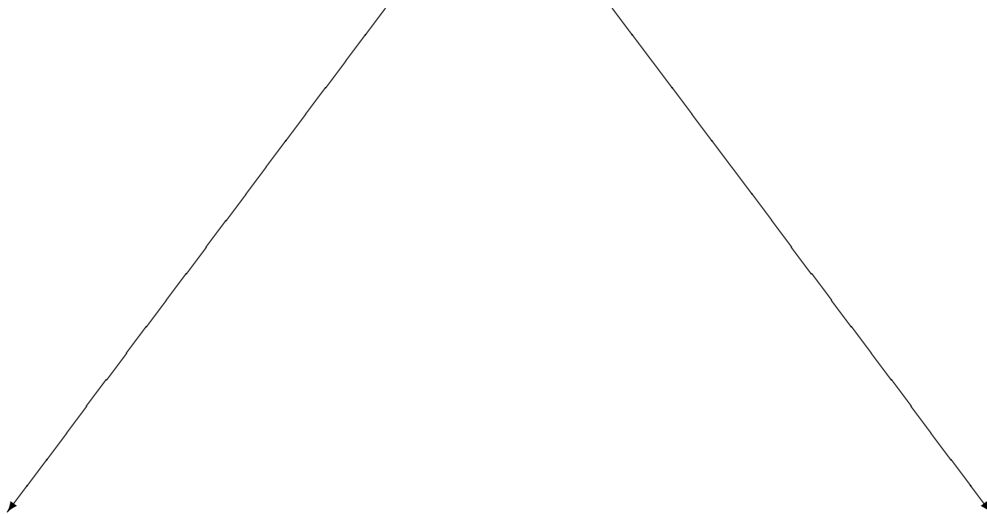
$\implies$  Iwasawa theory for general Galois deformations (Greenberg)

### Related articles by Greenberg

- [1] “p-adic L-functions and p-adic periods of modular forms” (with Stevens), (1993)
- [2] “Iwasawa theory and p-adic deformations of motives ” (1994)
- [3] “Elliptic curves and p-adic deformations ” (1994)

$p$  : prime number fixed,  
 $\overline{\mathbb{Q}} \hookrightarrow \mathbb{C}, \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}}_p$ , fixed

$\mathcal{R}$  : finite flat over  $\mathbb{Z}_p[[X_1, \dots, X_g]]$   
 $\mathcal{T} \cong \mathcal{R}^{\oplus d} \curvearrowright^\rho G_{\mathbb{Q}} = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$   
 nearly ordinary filtration  $F^+\mathcal{T} \subset \mathcal{T}$



analytic  $p$ -adic  $L$   
 $L_p^{\text{anal}}(\mathcal{T})$

algebraic  $p$ -adic  $L$   
 $L_p^{\text{alg}}(\mathcal{T})$

## General open problems

- ★ Construction of analytic  $p$ -adic  $L$ -function  $L_p^{\text{anal}}(\mathcal{T}) \in \mathcal{R}$  for various  $\mathcal{T}$
- ★ Iwasawa Main conjecture  $(L_p^{\text{anal}}(\mathcal{T})) = (L_p^{\text{alg}}(\mathcal{T}))$  for various  $\mathcal{T}$
- ★ Zero and pole of  $L_p^{\text{anal}}(\mathcal{T})$  in  $\text{Spec}(\mathcal{R})$ 
  - Trivial zero of  $L_p^{\text{MTT}}(E, s)$  for the cyclotomic deformation of an elliptic curve split multiplicative at  $p$  (Greenberg-Stevens[1])
  - Order of the two-variable  $p$ -adic  $L$ -function  $L_p(f_k, j)$  along diagonal divisor  $j = \frac{k}{2}$  in the case of nearly ordinary Hida deformation (Conjecture by Greenberg).
- ★ Iwasawa theory non nearly ordinary Galois deformations
  - Iwasawa theory for Coleman family for modular forms with positive slope?
- etc.

## Hida's deformation

- $\Gamma'$ : the group of diamond operators on  $\{Y_1(p^t)\}_{t \geq 1}$
- $\chi' : \Gamma' \xrightarrow{\sim} 1 + p\mathbb{Z}_p \subset (\mathbb{Z}_p)^\times$
- $\mathcal{F} = \sum_{n \geq 1} A_n q^n$ : ordinary  $\Lambda$ -adic newform of tame conductor  $N$
- $\mathbb{H} = \mathbb{Z}_p[[\Gamma']] \left[ \{A_n\}_{n \geq 1} \right]$ : finite extension of  $\mathbb{Z}_p[[\Gamma']]$

## Interpolation property of $\mathcal{F}$

$\mathbb{H} \xrightarrow{\kappa} \overline{\mathbb{Q}}_p$ : arithmetic character of weight  $k \geq 2$  (i.e.  $\exists U_{\text{open}} \subset \Gamma'$ ,  $\exists k \in \mathbb{Z}$  such that  $\kappa|_U = \chi'^k$ )  
 $\Rightarrow f_\kappa = \sum_{n \geq 1} a_n(f_\kappa) q^n \in S_k(\Gamma_1(Np^*))$  ( $a_n(f_\kappa) = \kappa(A_n)$ )

- $\mathfrak{M}_{\mathbb{H}}$ : the maximal ideal of  $\mathbb{H}$ ,  $\mathbb{F} = \mathbb{H}/\mathfrak{M}_{\mathbb{H}}$
- $\bar{\rho} : G_{\mathbb{Q}} \rightarrow GL_2(\mathbb{F})$  semi-simple with  
Trace( $\bar{\rho}(\text{Fr}_l)$ )  $\equiv A_l$  modulo  $\mathfrak{M}_{\mathbb{H}}$  for almost all  $l$  (“residual representation”  $\bar{\rho}$  always exists)

Assume the following conditions:

- (Ir)  $\bar{\rho}$  is irreducible.
- (Fr)  $\exists \mathbb{T} \cong \mathbb{H}^{\oplus 2}$  &  $\rho : G_{\mathbb{Q}} \longrightarrow GL(\mathbb{T})$   
Trace( $\rho(\text{Fr}_l)$ ) =  $A_l$  for almost all  $l$

We study the two-variable representation:

$$\mathcal{T} = \left( \mathbb{T} \hat{\otimes}_{\mathbb{Z}_p} [[\Gamma]](\tilde{\chi}) \right) \otimes \omega^i$$

( $i$  is a fixed integer with  $0 \leq i \leq p-2$ )

$$\mathcal{R} = \mathbb{H} \hat{\otimes}_{\mathbb{Z}_p} \mathbb{Z}_p[[\Gamma]] = \mathbb{H}[[\Gamma]]$$

where

$$\Gamma := \text{Gal}(\mathbb{Q}_{\infty}/\mathbb{Q}) \xrightarrow[\chi]{\sim} 1 + p\mathbb{Z}_p \subset (\mathbb{Z}_p)^{\times}$$

$$\tilde{\chi} : G_{\mathbb{Q}} \twoheadrightarrow \Gamma \hookrightarrow \mathbb{Z}_p[[\Gamma]]^{\times}$$

## Algebraic $p$ -adic $L$ -function $L_p^{\text{alg}}(\mathcal{T})$

$$\mathcal{A} = \mathcal{T} \otimes_{\mathcal{R}} \text{Hom}_{\mathbb{Z}_p}(\mathcal{R}, \mathbb{Q}/\mathbb{Z}_p) \curvearrowright G_{\mathbb{Q}}$$

$$\begin{aligned} \text{Sel}_{\mathcal{T}} &:= \text{Ker} \left[ H^1(\mathbb{Q}, \mathcal{A}) \right. \\ &\quad \left. \rightarrow \prod_{l \neq p} H^1(I_l, \mathcal{A}) \times H^1(I_p, \mathcal{A}/F^+ \mathcal{A}) \right] \end{aligned}$$

(Definition given by Greenberg in [2])

$$L_p^{\text{alg}}(\mathcal{T}) := \text{char}_{\mathcal{R}}(\text{Sel}_{\mathcal{T}})^{\vee}$$

## Analytic $p$ -adic L function $L_p^{\text{anal}}(\mathcal{T})$

We want  $L_p^{\text{anal}}(\mathcal{T}) \in \mathcal{R}$  with the interpolation:

$$\begin{aligned} (\chi^{j-1} \circ \kappa)(\mathcal{L}_p(\mathcal{T}))/C_{p,\kappa} &= \\ (-1)^{j-1} (j-1)! \left( 1 - \frac{p^{j-1}}{a_p(f_{\kappa})} \right) & \\ \times \frac{L(f_{\kappa}, j)}{(2\pi\sqrt{-1})^{j-1} C_{\infty,\kappa}} & \end{aligned}$$

for each arithmetic point  $\kappa \in \text{Hom}_{\mathbb{Z}_p}(\mathbb{H}, \overline{\mathbb{Q}_p})$  of weight  $k \geq 2$  and for each  $1 \leq j \leq k-1$

( $i \equiv j$  modulo  $p-1$ )

$C_{p,\kappa}, C_{\infty,\kappa}$ :  $p$ -adic and archimedian period for  $f_{\kappa}$

## Known results for $L_p^{\text{anal}}(\mathcal{T})$

- Construction via Modular symbol:  
Mazur, Kitagawa, Greenberg-Stevens, etc
- Construction via Rankin-Selberg integral:  
Panchishkin, Ochiai, Fukaya, etc

## Remark

1. The relation between different constructions are not clear. (In each construction, the definitions of  $C_{p,\kappa}$  is slightly different)
2. Kitagawa's  $L_p^{\text{Ki}}(\mathcal{T})$  is globally defined over  $\text{Spec}(\mathcal{R})$ .
3. In Kitagawa's  $L_p^{\text{Ki}}(\mathcal{T})$ , the  $p$ -adic period  $C_{p,\kappa}$  is a  $p$ -adic unit at each  $\kappa$ .

$\Downarrow$

## Two-variable Iwasawa Main Conjecture

Under the condition **(Ir)**,  $(L_p^{\text{alg}}(\mathcal{T})) = (L_p^{\text{Ki}}(\mathcal{T}))$



Under the conditions **(Ir)** and **(Fr)**, we have the following result:

**Theorem.** (Ochiai) Assume

**(H)**  $\mathcal{R} \cong \mathcal{O}[[Y_1, Y_2]]$

**(G)**  $\exists \tau \in G_{\mathbb{Q}}$  which is conjugate to  $\begin{pmatrix} 1 & P_{\tau} \\ 0 & 1 \end{pmatrix}$  in  $GL(\mathcal{T}) \cong GL_2(\mathcal{R})$  where  $P_{\tau} \in \mathcal{R}^{\times}$ .  $\exists \tau' \in G_{\mathbb{Q}}$  acting on  $\mathcal{T}/\mathfrak{M}\mathcal{T}$  via the multiplication by  $-1$ .

Then we have:

$$(L_p^{\text{alg}}(\mathcal{T})) \supset (L_p^{\text{Ki}}(\mathcal{T})).$$

Strategy of the proof

**Theorem A** (Ochiai, [2003] + preprint)

The condition **(Ir)**  $\implies$  an ideal of Euler system  $(\text{ES}) \subset \mathcal{R}$  obtained by modifying (twisting) Beilinson-Kato elements

Further, we have  $(\text{ES}) = (L_p^{\text{Ki}}(\mathcal{T}))$

**Theorem B** (Ochiai, [2005])

Assume the condition **(H)** and **(G)**. Then we have the following inequality:

$$(L_p^{\text{alg}}(\mathcal{T})) \supset (\text{ES})$$

Further progress

**1. Study of  $\text{Sel}_{\mathcal{T}/JT} \longrightarrow \text{Sel}_{\mathcal{T}}[J]$  (preprint)**

**Proposition**

For each height-one prime  $J \subset \mathcal{R}$ ,

(One-variable) Iwasawa Main Conjecture for  $\mathcal{T}/JT \iff$  (Two-variable) Iwasawa Main Conjecture for  $\mathcal{T}$

$J = (\gamma - \chi'(\gamma')\gamma')$  (interpolating  $L(f_k, k-1)$ )

- $\text{Sel}(\mathcal{T}/JT)$  defined by Greenberg's method
- $L_p^{\text{anal}}(\mathcal{T}/JT)$  defined by  $L_p^{\text{Ki}}(\mathcal{T})$  modulo  $J$
- Iwasawa Main Conjecture for  $\mathcal{T}/JT$

$$(L_p^{\text{anal}}(\mathcal{T}/JT)) = E \cdot (L_p^{\text{alg}}(\mathcal{T}/JT))$$

where  $E = (1 - A_p(\mathcal{F}))$  or  $(1)$  (extra factor).

$J = (\gamma' - \kappa(\gamma'))$  (cyclo. deformation of  $f_{\kappa}$ )

**Corollary of Proposition**

Cyclo. I.M.C. for  $f_{\kappa} \iff$  Cyclo. I.M.C. for  $f_{\kappa'}$

(for every arithmetic specializations  $f_{\kappa}, f_{\kappa'}$  of  $\mathcal{F}$ )

(without assuming  $\mu = 0$ )

## 2. Residually reducible cases (in preparation)

When **(Ir)** or **(Fr)** is false,

- $\mathcal{T} \sim \mathcal{T}'$  isogenous  $\longrightarrow$   $\text{Sel}_{\mathcal{T}}/\text{Sel}_{\mathcal{T}'}$  is studied. (generalization of Perrin-Riou's result for variation of  $\mu$ -invariant under isogeny in the cyclotomic deformation case)
- Iwasawa Main conjecture in the case without **(Ir)**.

## 3. Examples (preprint + in preparation)

$$\Delta = q \prod_{n \geq 1} (1 - q^n)^{24} \in S_{12}(SL_2(\mathbb{Z}))$$

$p$ : ordinary prime for  $\Delta$  ( $p \geq 11, p \neq 2411, \dots$ )

$$R = \mathbb{Z}_p[[\Gamma \times \Gamma']].$$

$$\mathcal{T} = \mathbb{T} \hat{\otimes} \mathbb{Z}_p[[\Gamma]](\tilde{\chi}) \otimes \omega^i \quad (0 \leq i \leq 10)$$

such that  $\mathbb{T}/(\gamma' - \chi'(\gamma')^{12}) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \cong V_{\Delta}$ .

### Lemma

Except for  $(p, 1)$  with anomalous primes  $p$  of  $\Delta$ , we have  $\text{Sel}_{\mathcal{T}} = 0$  and  $L_p^{\text{Ki}}(\mathcal{T})$  is a unit.

$p = 11, 23, 691, \dots$  are anomalous primes.  $(p, i) = (11, 1) \Rightarrow$

$$(\text{Sel}_{\mathcal{T}})^{\vee} \cong \mathbb{Z}_p[[\Gamma \times \Gamma']]/(\gamma^2 - \gamma'^{-1})$$

$$(L_p^{\text{alg}}(\mathcal{T})) = (L_p^{\text{Ki}}(\mathcal{T})) = (\gamma^2 - \gamma'^{-1}) \text{ (I.M.C. is true).}$$

$(p, i) = (691, 1) \Rightarrow$

Lattices  $\mathcal{T}$  are not unique. There is a minimal lattice  $\mathcal{T}_0$  so that  $(L_p^{\text{alg}}(\mathcal{T}_0)) = (L_p^{\text{Ki}}(\mathcal{T}_0)) = \mathcal{R}$ . For other lattices  $\mathcal{T} \sim \mathcal{T}_0$ ,  $L_p^{\text{alg}}(\mathcal{T})/L_p^{\text{alg}}(\mathcal{T}_0)$  and  $L_p^{\text{anal}}(\mathcal{T})/L_p^{\text{anal}}(\mathcal{T}_0)$  are compared.

$(p, i) = (23, 1) \Rightarrow$

The condition **(G)** is not satisfied.

(The residual representation is dihedral)

At the end, we will propose several problems related to results mentioned above...

Problem 1 Weaken the condition **(H)** in Theorem B.

Greenberg-Stevens[1]  $\Rightarrow$  Example of the cases where Hecke algebra might have singularity.

Problem 2 Weaken the condition **(G)** in Theorem B. (Euler system should work for every “non-CM” deformations!)

Related to Problem 2, we are led to the following conjecture:

### Conjecture

Let  $\mathcal{T} \cong \mathbb{Z}_p[[X_1, \dots, X_g]]^{\oplus d}$  and let  $G \subset GL(\mathcal{T})$  be an analytic subgroup. Assume that  $\exists \phi \in \text{Hom}(\mathbb{Z}_p[[X_1, \dots, X_g]], \overline{\mathbb{Q}}_p)$  such that  $G_\phi \subset GL_d(\overline{\mathbb{Q}}_p)$  is a reductive  $p$ -adic Lie group.

Then,  $H^i(G, \mathcal{A})^\vee$  is a finitely generated torsion  $\mathbb{Z}_p[[X_1, \dots, X_g]]$ -module for every  $i$ .

### Remark

1. Conjecture in the case  $g = 0$  is Lazard’s result in his paper in 1965.
2. Example of  $G$  for  $g > 0$  is nearly ordinary Hida deformation  $\mathcal{T}$  and  $G = \text{Image}[G_{\mathbb{Q}} \rightarrow GL(\mathcal{T})]$ .
3. Condition **(G)** implies  $H^1(G, \mathcal{A}) = 0$  in the case of Hida deformation.